

# Packet Processing Apparatus, and Packet Processing Method

## BACKGROUND OF THE INVENTION

### i) Field of the Invention

5       The present invention relates to communication in which connectionless communication and connection-oriented communication are combined for use, particularly to a packet processing apparatus and packet processing method in IEEE 1394 transfer system.

### 10       ii) Description of Related Art

15       In recent years, an IEEE 1394 interface relating to the present invention has spread as multimedia real-time transmission between digital, video, or audio and PC. An IEEE 1394 standard is defined as "IEEE Std 1394 IEEE Standard for a High Performance Serial Bus", and in IEEE 1394 bus as a high-rate serial bus, data is divided in a packet form, and transmitted in a time division on the basis of a cycle of a length of 125  $\mu$ s.

20       For a transmission method in the IEEE 1394, there are two methods of isochronous transmission and asynchronous transmission, and IEEE 1394 packet is transmitted as an isochronous packet and an asynchronous packet.

25       In the isochronous transmission, by securing a band necessary for transmission in all cycles, transmission in a fixed time is assured, an isochronous channel as communication connection is established beforehand between

nodes to perform communication, and subsequently data transfer is performed in a connection-oriented communication system. Here, an identifier of the isochronous channel is called an isochronous channel number.

5           On the other hand, in the asynchronous transmission, in each cycle, arbitration is performed in a remaining time after the isochronous transmission, and the node having secured the bus performs data transfer.

Different from the isochronous transmission, without  
10 establishing the connection beforehand, the identifier allotted univocally to the node on the bus is used to perform the data transmission in the connectionless communication system. Moreover, this identifier is called a node ID.

15           In this manner, the isochronous transmission corresponds to the connection-oriented communication in which a band is assured, and the asynchronous transmission corresponds to the connectionless communication in which no band is assured.

20           Such communication mode in which the IEEE 1394 interface is used is disclosed in the invention of Japanese Patent Application Laid-Open No. 234313/1999 relating to a multimedia exchange apparatus. The invention relates to  
25 exchange processing of communication, sound or image data, and using a high-rate serial bus of the IEEE 1394 interface standard to change a data transfer path by automatic

allocation and perform the exchange processing. In this technique, various data in an inside line node apparatus and outside line node apparatus are automatically allocated to isochronous or asynchronous communication channels based on an identification code, and the like and packet transfer is performed.

In a conventional local area network (LAN), mainly Ethernet is used as a physical medium, but data transmission is the connectionless communication, and no band is assured in data transfer.

As the connection-oriented communication, ATM-LAN, and the like have been used in recent years, but all connections are established beforehand in a fixed manner between the nodes in LAN, and this disadvantageously results in remarkably laborious connection management. Moreover, when the connection is dynamically established by a signaling processing, processing overhead increases, and there is a disadvantage that a processing load in each terminal becomes heavy. For these reasons, the technique of ATM-LAN is more effectively used in the connection between LANs than within LAN.

As described above, the connection-oriented communication using ATM used in the conventional LAN and the connectionless communication represented by Ethernet are both provided with the aforementioned defects when used in LAN as they are.

On the other hand, the IEEE 1394 bus is a physical

medium provided with both transfer systems of the connection-oriented and connectionless communications, and it is studied by a standardization organization or the like how to realize IP communication in the IEEE 1394 bus.

5 For example, with respect to Internet Protocol (IP) communication on IEEE 1394, there is a specification proposal of IP over IEEE 1394 by Internet Engineering Task Force (IETF). In this system, transmission is performed by encapsulating IP packet with an asynchronous packet, and  
10 this corresponds to the aforementioned connectionless communication.

Moreover, a method of connection-oriented communication for performing encapsulation and transmission with the isochronous packet is similarly proposed in IETF.  
15 However, both communications are provided with defects that no band is assured in the aforementioned connectionless communication, and the processing is heavy in the connection-oriented communication.

## 20 SUMMARY OF THE INVENTION

Based on the aforementioned background, in the present invention, asynchronous transmission and isochronous transmission of IEEE 1394 bus will be described as embodiments, and an object of the present invention is  
25 to propose an IP communication system in which connection-oriented communication and connectionless communication are combined for use in consideration of a communication

According to the present invention, there is provided an IP packet processing apparatus comprising: an IEEE 1394 packet transmitter for performing transmission of an IEEE 1394 packet; an IEEE 1394 packet receiver for receiving the IEEE 1394 packet; an IP packet extractor for extracting an IP packet from the received IEEE 1394 packet; an IP packet processor for referring to a routing table set and updated by an ARP processing from the extracted IP

necessary or unnecessary with respect to a data flow; an CMP processor for obtaining a corresponding isochronous channel number and band from an establishment request of the isochronous channel transmitted from the transmission monitor, and registering the obtained channel information in the address table; and means for referring to the address table information, encapsulating the IP packet to be transmitted in the IEEE 1394 packet, and using the

established communication channel to generate an isochronous packet.

Moreover, the IP packet processing apparatus of the present invention includes: an IP packet extractor for extracting port information of an IP packet to be transmitted and setting the information in an address table; and the address table further including attribute information indicating whether or not a predetermined number of transmissions of the packet for entry information are continuously performed with respect to respective entries including types of ports set by the IP packet extractor.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a function block diagram of an IP packet processing apparatus according to an embodiment of the present invention.

Fig. 2 is an explanatory view showing a general idea of the present invention.

Fig. 3 is a processing flowchart showing an operation of a transmission monitor in an ISO non-established state according to the embodiment of the present invention.

Fig. 4 is a processing flowchart showing the operation of the transmission monitor in an ISO established state according to the embodiment of the present invention.

Fig. 5 shows a constitution example of an address

table of the embodiment of the present invention.

Fig. 6 is a function block diagram of the IP packet processing apparatus according to another embodiment of the present invention.

5 Fig. 7 shows the constitution example of the address table of another embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

10 An embodiment of the present invention will be described.

First, a general idea of an IP packet processing apparatus of the present invention will be described with reference to Fig. 2. Fig. 2 is an explanatory view showing the general idea of the present invention, and shows, as one example, LAN in which a server 201, PC terminal 202, PC terminal 203 and gateway 204 are included as communication apparatuses for processing an IP packet and IP communication is performed among the respective apparatuses.

20 Here, a physical cable for connecting the respective apparatuses to one another is an IEEE 1394 serial bus or another bus provided with two connection-oriented and connectionless transfer systems. Moreover, the physical cable for connecting the respective  
25 apparatuses of the gateway of the LAN is an IEEE 1394 bus or another bus provided with two connection-oriented and connectionless transfer systems, and is connected to an

09731559-120300

external network via the gateway 204.

Here, as one example a case is considered in which the PC terminal 203 starts download of large-capacity data such as image data from the server 201, and the PC terminal 5 202 receives the image data from the external network via the gateway 204. When a plurality of data transfers are performed in connectionless communication similarly as Ethernet, mutual transfer traffic is probably influenced.

Specifically, when a plurality of data transfers are performed in asynchronous transfer as the 10 connectionless communication in the IEEE 1394 serial bus, and the traffic of each data transfer increases, a communication band used in each data transfer probably exceeds a total band width of the bus.

00721659 120800  
15 In order to avoid such situations, in the present invention, there are proposed a system of monitoring a transmission situation of the IP packet in each apparatus, and changing the transmission satisfying a certain fixed condition over to connection-oriented transfer, and an 20 apparatus provided with the system.

Specifically, the present invention is provided with a constitution for changing the connectionless IP communication over to IP communication in which connection-oriented and connectionless communications are combined for 25 use, specifically, for changing the IP communication by asynchronous transfer over to the IP communication by isochronous transfer in accordance with a traffic state in



data transfer on the IEEE 1394 serial bus.

For example, in LAN of Fig. 2, when traffics of transfer to the PC terminal 202 from the gateway 204 and data transfer to the PC terminal 203 from the server 201 are large, change-over of the transfer system from the asynchronous transfer as the connectionless communication is performed in such a manner that data transfer is performed by isochronous transfer as the connection-oriented communication with the assured band.

The embodiment of the present invention will next be described in detail with reference to the drawings. Fig. 1 is a function block diagram of the IP packet processing apparatus according to the embodiment of the present invention.

#### (1) Summary of Function Block

As shown in Fig. 1, the IP packet processing apparatus of the present invention receives the IEEE 1394 packet from the IEEE 1394 bus, extracts the IP packet from the IEEE 1394 packet, supplies the packet to an application, converts information from the application to the IP packet and further to the IEEE 1394 packet, and performs transmission to another communication terminal. Here, for the transfer of the IP packet, a transfer destination is determined based on routing table information set/updated by an address resolution protocol (ARP) processing and transmission is performed. A summary of respective function blocks of Fig. 1 will be described hereinafter.

<Application Section>

An application section 101 includes an application in which a file transfer protocol (FTP) and a real time protocol (RTP) are used, and performs data input/output with an IP packet processor 102.

<IEEE 1394 Packet Transmitter>

In response to an IEEE 1394 packet transmission request from another processor, an IEEE 1394 packet transmitter 109 sends an isochronous packet and asynchronous packet to the IEEE 1394 bus.

<IEEE 1394 Packet Receiver>

An IEEE 1394 packet receiver 110 performs a processing of distributing the packet received from the IEEE 1394 bus to another processor. With the isochronous packet, the receiver outputs the packet of an isochronous channel number requested to be opened from a connection management procedure (CMP) processor 107 described later to an IP packet extractor 108. Moreover, on receiving the packet of the isochronous channel number requested to be closed from the CMP processor 107, the receiver discards the packet.

<IP Packet Extractor>

The IP packet extractor 108 performs a processing of extracting the IP packet from the isochronous packet or the asynchronous packet inputted from the IEEE 1394 packet receiver 110. When the inputted packet data is fragmented into a plurality of asynchronous packets, a reassembly

processing is performed, and the IP packet is restored and outputted to the IP packet processor 102.

<Routing Table>

A routing table 111 is provided with information for determining an IP route. Similarly as the conventional art, the table is used to determine an IP address of the node for the next transfer with respect to a transmission IP packet. Routing information stored in this routing table may be preset in a fixed manner, or may dynamically be prepared by a routing protocol.

<ARP Processor>

On receiving an address settlement request from an IEEE 1394 packet generator 103, an ARP processor 106 generates an ARP packet for obtaining node ID of the node on the IEEE 1394 bus provided with the IP address of the transfer destination, offset and max\_rec value, and outputs the packet to the IEEE 1394 packet transmitter 109. Here, the offset indicates a position of an address in which IP data is written into a transfer destination node register.

With transfer of IP with IEEE 1394, asynchronous WRITE transfer is performed as shown in IETF document, but in this method by writing the IP data into the predetermined address of the transfer destination node register, data reception is substantially performed.

However, since an address value for writing the data into the register is arbitrarily set for each node, it is necessary for a transferor node to know beforehand the

Moreover, max\_rec indicates a data size which can be received once by a certain reception side node, and is similarly obtained from the reception side node by the ARP processing. When a transmission side node transmits data to the reception side node in this manner, data can be transmitted as the packet obtained by fragmenting the data in accordance with the data size.

When a response to the transmitted ARP packet is inputted to the ARP processor 106 via the IEEE 1394 packet receiver 110, the ARP processor 106 sets the node ID, offset, and max\_rec value in the corresponding entry of an address table 104, and outputs an address settlement completion notice to the IEEE 1394 packet generator 103.

A transmission monitor 105 is a processor for establishment and release of an isochronous channel. The monitor searches the information registered in the table 104 at a fixed interval, monitors whether transmission traffic identified in accordance with a transfer, transmission destination, transfer data and attributes lasts in a steady manner or performs a capacity transfer, and determines whether an establishment/release request of the isochronous channel is issued in accordance with the traffic state.

The search interval of the address table 104 by

```
5      <IP Packet Processor>
```

10

15

20

The address table 104 holds attribute information of the received traffic as an entry, the entry is

registered by the IEEE 1394 packet generator 103, and subsequently the entry is used as reference information for generation of the IEEE 1394 packet.

Moreover, each entry attribute information is set and updated by the ARP processor 106, transmission monitor 105 and other respective processors.

Fig. 5 shows a constitution example of the address table according to the embodiment of the present invention.

The attribute information of the address table 104 will be described with reference to the drawings.

Attribute "Next node" 501 indicates the IP address of the transfer destination node of the IP packet. Attribute "transmitter IP" 502 indicates the IP address of the transmitter node of the IP packet to be transferred. Attribute "ISO channel" 503 denotes an isochronous channel number. Attribute "bandwidth" 504 denotes a bandwidth allocated to the ISO channel.

Attribute "max\_rec" 505 denotes the maximum size of the asynchronous packet. Attribute "offset" 506 denotes address information embedded in a header of the asynchronous packet. Attribute "node ID" 507 denotes the node ID of the transfer destination node in asynchronous transfer. Attribute "packet flag" 508 is a flag indicating whether or not the packet has recently been transmitted to Next node, 1 means that the packet has been transmitted, and 0 means that no packet has been transmitted.

Attribute "time count" 509 is a parameter

indicating a packet transmission frequency and an initial value is 0. Attribute "ISO establishment state" 510 indicates an establishment state of the isochronous channel, and takes four values "non-established", "being established", "being released", and "established". Attribute "ARP state" 511 indicates the state of an address settlement processing by ARP, and takes three values "unsettled", "being settled", and "settled".

<IEEE 1394 Packet Generator>

The IEEE 1394 packet generator 103 generates the IEEE 1394 packet based on the IP address and IP packet of the transfer destination node inputted from the IP packet processor 102 and outputs the packet to the IEEE 1394 packet transmitter 109. During output, the generator searches the entry of the address table 104 as follows, and refers to entry information to determine whether the asynchronous packet or the isochronous packet is to be generated. Moreover, during output to the IEEE 1394 packet transmitter 109, the generator sets a value of the entry packet flag to 1.

<CMP Processor>

The CMP processor 107 performs a processing of establishing and releasing the isochronous channel. Here, CMP means a protocol for operating a plurality of input/output plug registers disposed on respective IEEE 1394 communication nodes, and establishing/releasing connection between the nodes with respect to the

[illegible]

10

15

## (2) Transfer Processing Operation

20

25



when the transfer destination is another node.

The IEEE 1394 packet generator 103 generates the IEEE 1394 packet from the inputted transfer destination node IP address and IP packet, and outputs the packet to the IEEE 1394 packet transmitter 109. In this case, by referring to the corresponding entry information of the address table 104, it is determined that the asynchronous packet or the isochronous packet is to be generated. Specifically, by performing search in the address table 104 with respect to the packet, the entry is searched in which Next node value agrees with the transfer destination IP address inputted from the IP packet processor 102 and a transmitter IP value agrees with the transmitter IP address in the header of the IP packet inputted from the IP packet processor 102.

When the corresponding entry is not found, that is, when the entry is not registered in the address table, a new entry is prepared, the transfer destination IP address is set to the Next node value of the entry, the transmitter IP address is set to the transmitter IP value, and the ARP state value is set to "being settled". Thereafter, when the address settlement request is issued to the ARP processor 106, and an address settlement processing ends in the ARP processor 106, the generator receives the completion notice from the ARP processor 106, and searches the entry again. Since the target entry is added by the ARP processing, the ARP state indicates "settled", and the

compatible entry is next detected.

When the compatible entry is found in the address table, and the value of ISO establishment state fails to indicate "established", transfer is performed with connectionless asynchronous transfer. Concretely, the corresponding asynchronous packet is generated from the offset value and node ID value with respect to the entry stored in the address table 104. In this case, a fragment processing is performed in accordance with the value of max\_rec. The IP packet transfer processing in the asynchronous transfer is the same as the transfer system proposed in IETF.

Moreover, when the compatible entry of the traffic is found in the address table 104, and the ISO establishment state value of the entry indicates "established", the IEEE 1394 packet generator 103 uses an ISO channel value stored in the corresponding entry, performs the fragment processing in accordance with a bandwidth value, and generates the isochronous packet.

On the other hand, the transmission monitor 105 refers to the address table 104 at a fixed period, judges whether the establishment or release of the isochronous channel needs to be performed, issues ISO establishment/release request, and sets the ISO establishment state 510 with respect to the corresponding entry of the address table 104. The transmission monitor 105 searches the information registered in the address

table 104 at a fixed interval, and performs a processing shown in a processing flowchart of Fig. 3 or 4 when the ISO establishment state 510 indicates "non-established" or "established".

5           Fig. 3 is a processing flowchart showing the operation of the transmission monitor in the ISO non-established state of the embodiment of the present invention. Fig. 4 is a processing flowchart showing the operation of the transmission monitor in the ISO  
10 established state of the embodiment of the present invention.

00731559-120800  
15           First, when the ISO establishment state value of the entry stored in the address table 104 indicates "non-established", the processing operation for the ISO non-established state starts (step 301), first the value of the packet flag 508 indicating whether or not the packet has recently been transmitted is checked with respect to Next node as the entry information in the address table (step 302), and the time count value is cleared to 0 and the  
20 processing ends when the packet flag value is 0, that is, when no packet is transmitted during the search interval (step 303). Specifically, the traffic load is little, the connection-oriented isochronous channel transfer is now unnecessary, and the asynchronous transfer as the usual  
25 connectionless communication is continued.

          When the value of the packet flag 508 is 1, that is, when there is at least one traffic packet transmission

processing in the search interval, in order to reset the packet flag value, the value is set again to 0 and 1 is added to the time count value (step 304).

It is next checked whether the time count value is not less than a fixed threshold value (step 305), the processing ends when the value is less than the fixed threshold value, and during the next search of the address table 104, it is again checked whether the packet transmission is performed in the search interval by monitoring the packet flag value. On the other hand, when the value is not less than the fixed threshold value of the ISO establishment state, it is judged that the transmission packet regarding the entry lasts in the steady manner, or that large-capacity transfer is performed, and the isochronous channel establishment request is issued to the CMP processor 107 (step 306). Thereafter, the value of the ISO establishment state 510 of the entry stored in the address table 104 is set to "being established" (step 307), and the processing ends (step 308).

The operation of the transmission monitor 105 when the entry is in the ISO established state will next be described. First, when the value of the ISO establishment state 510 of the corresponding entry of the address table 104 indicates "established" (step 401), the processing operation in the ISO established state is started. First, the value of the packet flag 508 of the entry is checked (step 402). When the value is 1, the packet flag value is

5           It is next checked whether the value of the time  
count 509 is 0 (step 405). When the value is other than 0,  
the processing ends, and again during the search,  
monitoring of the packet flag value and checking of the  
time count value are performed. Moreover, when the time  
0 count value is 0, it is judged that there is no  
transmission packet regarding the entry, or that frequency  
decreases, the isochronous channel release request is  
issued to the CMP processor 107 (step 406), subsequently  
the ISO establishment state value is set to "being  
5 released" (step 407), and the processing ends (step 408).

When the transmission load is high in this manner,  
20 and when the transmission monitor 105 refers to the packet  
flag value of the address table 104, 1 is set with a high  
frequency, and the transmission traffic is therefore handed  
with isochronous transmission.

The CMP processor 107 performs an establishment and release processing of the isochronous channel based on

When the isochronous channel establishment request is inputted from the transmission monitor 105, the predetermined bandwidth and arbitrary isochronous channel number are acquired. This acquirement processing is as determined in the specification of IEEE 1394, and the processing is performed by issuing the request to the isochronous resource manager.

When notifying of the isochronous channel number is completed, the values of the ISO channel and bandwidth of the address table 104 are set. Moreover, the ISO establishment state value is set to "established".

When the resource release is successful, the node indicated by the entry node ID of the address table 104 is notified of the release of the isochronous channel number.

5

0

5

0

5

The monitoring of the transmission load by the transmission monitor 105 is performed not by the Next node alone but by a combination unit with the transmitter IP. This is necessary when an IP packet routing transfer is performed not via the application section 101 as in the gateway 204.

Fig. 6 is a function block diagram of the IP packet processing apparatus in another embodiment of the present invention. In the embodiment of Fig. 2, the isochronous channel is established by an inter-node unit. Specifically, in this case, the isochronous channel which can be established between the nodes is unidirectional and therefore up to one channel. In this another embodiment constitution, the isochronous channel can be established for each session. In this case, since the isochronous channel able to be established between the nodes is unidirectional, establishment is possible with respect to a plurality of sessions.

The present embodiment is different from the constitution of Fig. 2 in an added port information extractor 612 and a changed constitution of an address



table 604. The constitution of the address table 604 is shown in Fig. 7.

The port information extractor 612 analyzes the IP packet outputted from an IP packet processor 602, and  
5 extracts a transmission destination port number when payload is TCP or UDP. When the IP packet is fragmented, with respect to the IP packets other than the head IP packet, no port number extraction is performed.

After extracting the port number, the port  
10 information extractor 612 outputs the IP packet, transfer destination IP address, and transmission destination port number to an IEEE 1394 packet generator 603.

The processing of the IEEE 1394 packet generator  
603 is the same as that of the aforementioned embodiment,  
15 but is different in that during searching of the address table 604, as an added condition, a transmission destination port (Fig. 7) value of the address table 604 agrees with the transmission destination port number inputted from the port information extractor 612.

20 As described above, this embodiment indicates that the isochronous channel is established by TCP or UDP session unit.

The present invention is provided with the following effects.

25 (1) With respect to the large-capacity data transfer or steady data transfer like a real time stream, the band can be assured by performing isochronous transfer.

00721659-120800  
003021-6597260

This band assurance means that data transfer quality is assured and another communication quality fails to be deteriorated.

(2) Since the connection for the isochronous transfer is dynamically established, it is unnecessary to establish the connection among all the nodes beforehand.

(3) With respect to small-capacity data transfer, or unsteady data transfer, by performing asynchronous transfer, overhead of a connection establishing procedure can be omitted and efficient data transfer is possible.

(4) In the application of a level higher than the level of IP layer, it is unnecessary to be conscious of transfer with asynchronous transmission or isochronous transmission.

003021 059E/60